

Applicant or Patentee: David Sidransky et al.
Serial or Patent No.:
Filed or Issued: June 30, 1995
For: METHOD OF DETECTION OF NEOPLASTIC CELLS

ATTORNEY DOCKET NO. 07265/061001

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: The Johns Hopkins University School of Medicine
Address of Organization: 720 Rutland Avenue, Baltimore, Maryland 21205
Type of Organization:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE:)
(CITATION OF STATUTE:)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF
AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE:)
(CITATION OF STATUTE:)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled METHOD OF DETECTION OF NEOPLASTIC CELLS by inventor(s) David Sidransky and Stephen Baylin described in

- ☐ the specification filed herewith.
☒ application serial no. _____, filed June 30, 1995.
☐ patent no. _____, issued.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: _____

Address: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name: David A. Blake, Ph.D.

Title: Executive Vice Dean

Address: 720 Rutland Avenue, Baltimore, Maryland 21205

Signature: *David A. Blake*

Date: 9/30/95

PATENT
ATTORNEY DOCKET NO: 07265/061001

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD OF DETECTION OF NEOPLASTIC CELLS, the specification of which

☐ is attached hereto.

☒ was filed on June 30, 1995 as Application Serial No. 08/497,535
and was amended on _____.

☐ was described and claimed in PCT International Application No. filed on 6/30/95 and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| U.S. SERIAL NO. | FILING DATE | STATUS |
|-------------------|---------------------|--|
| <u>08/439,962</u> | <u>May 12, 1995</u> | <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Issued <input type="checkbox"/> Abandoned |

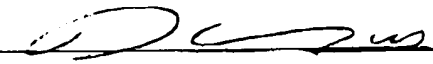
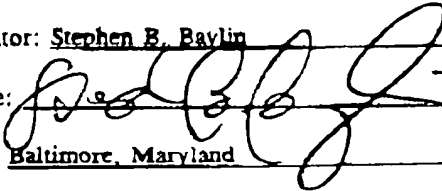
I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Lisa A. Haile, Reg. No. 38,347; John R. Wetherell, Jr., Reg. No. 31,678; John W. Freeman, Reg. No. 29,066; John F. Land, Reg. No. 29,554; Scott C. Harris, Reg. No. 32,030; Hans R. Troesch, Reg. No. 36,950.

Address all telephone calls to Lisa A. Haile at telephone number 619/678-5070

Address all correspondence to Lisa A. Haile, Fish & Richardson P.C., 4225 Executive Square, Suite 1400, La Jolla, CA 92037.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

Full Name of Inventor: David SidranskyInventor's Signature: Date: 8/6/98Residence Address: Baltimore, MarylandCitizen of: United StatesPost Office Address: 3007 Northbrook Road, Baltimore, Maryland 21209Full Name of Inventor: Stephen B. BaylinInventor's Signature: Date: 8/24/98Residence Address: Baltimore, MarylandCitizen of: United StatesPost Office Address: 6903 Marlborough Road, Baltimore, Maryland 21212